

**Select Investment Services Limited****弘富投資服務有限公司**

1713B-1714, 17/F, Hong Kong Plaza, No. 188 Connaught Road West, Western District, Hong Kong

Tel : (852) 2804 2968 Fax: (852) 3104 9938

SFC CE No: AXU865

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**Standing Authority - Client Securities and Securities Collateral &
Declaration for Group Related Margin Accounts**
常設授權書 - 客戶證券及證券抵押品 及 一組關連保證金客戶之聲明

To 致	Select Investment Services Limited 弘富投資服務有限公司	Date (dd/mm/yy) 日期 (日/月/年)	/ /
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Client Information 客戶資料

* Account Number 帳戶號碼	
* Account Name 帳戶名稱 (CAPITAL LETTERS 大寫字母)	

Instruction & Declaration Details 指示及聲明詳情

Instructions 指示:

I/We hereby authorize you and each associated entity (as defined in Part 1 of Schedule 1 to the Securities and Futures Ordinance) of yours for a period commencing from the date of your approval to this authority up to and including the last day of the eleventh (11th) month next after the date of such approval to deal with my/our securities and securities collateral from time to time received or held in Hong Kong by or on behalf of you or any associated entity of yours in one or more of the following ways without further notice to or consent from me/us:

我/我們同意並授權弘富投資服務有限公司(“弘富投資”)及其有聯繫實體(按《證券及期貨條例》附表1第1部之有關定義)可於你們批准本授權書當天開始直至及包括隨後第十一個月份的最後一天的期間內,以下列一種或多於一種方式處理不時由弘富投資或其任何有聯繫實體代我/我們在香港收取或持有的證券及證券抵押品,毋須進一步通知我/我們或取得我/我們的同意:

- to apply any of the securities or securities collateral pursuant to a securities borrowing and lending agreement;
依據證券借貸協議運用任何有關證券或證券抵押品;
- to deposit any of the securities collateral with an authorized financial institution as collateral for financial accommodation provided to you;
將任何有關證券抵押品存放於認可財務機構,作為提供予弘富投資的財務通融的抵押品;
- to deposit any of the securities collateral with any clearing house recognized by the Hong Kong Securities and Futures Commission or another intermediary licensed or registered for dealing in securities as collateral for the discharge and satisfaction of your settlement obligations and liabilities; and
將任何有關證券抵押品存放於獲香港證券及期貨事務監察委員會認可的結算所或另一獲發牌或獲註冊進行證券交易的中介人,作為解除弘富投資在交收上的義務和清償弘富投資在交收上的法律責任的抵押品;及
- to treat and deal with the securities and securities collateral in such manner as you consider appropriate taking into account any applicable legal or regulatory requirement from time to time.
於顧及任何適用的法律或監管要求的情況下,以弘富投資認為適當的方式對待及處理有關證券及證券抵押品。

I/We hereby acknowledge, and confirm having been informed by you, that you have the practice of repledging clients' securities and securities collateral.

我/我們獲悉及確認你們有將客戶的證券及證券抵押品再質押的做法。

This authority may be renewed or shall be deemed to have been renewed in accordance with s.4(3) of the Securities and Futures (Client Securities) Rules but may be revoked by me/us by giving to you at least 5 business days' prior written notice of revocation.

本授權書可根據《證券及期貨(客戶證券)規則》第4(3)條的規定下予以續期或被當作已續期,惟我/我們可向弘富投資發出不少於5個工作天之事先書面通知以撤銷本授權書。

I/We understand that I am/we are not required by any law to sign this authority but it may be required by you, for example, to facilitate margin lending to me/us or to allow my/our securities or securities collateral to be lent to or deposited as collateral with third parties. I/We also understand that if I/we sign this authority and my/our securities or securities collateral are lent to or deposited with third parties, those third parties will have a lien or charge on my/our securities or securities collateral. Although you are responsible to me/us for securities or securities collateral lent or deposited under my/our authority, a default by you could result in the loss of my/our securities or securities collateral. I/We agree and confirm that you and your associated entities shall be entitled to receive and retain for your or their own benefit and not be accountable to me/us for any remuneration, income, rebates or other benefits resulting from any dealing with my/our securities or securities collateral.

我/我們明白現時並無任何法例規定我/我們必須簽署此授權書;然而,弘富投資可能需要此授權書,以便例如向我/我們提供保證金貸款或獲許將有關我/我們的證券或證券抵押品借出予第三方或作為抵押品存放於第三方。我/我們亦明白倘若我/我們簽署此授權書,而我/我們的證券或證券抵押品已借出予或存放於第三方,該等第三方將對我/我們的證券或證券抵押品具有留置權或作出押記。雖然弘富投資根據我/我們的授權而借出或存放屬於我/我們的證券或證券抵押品須對我/我們負責,但弘富投資的失責行為可能會導致我/我們損失我/我們的證券或證券抵押品。我/我們同意並確認弘富投資及其有聯繫實體有權收取及保留任何由於處理我/我們的證券或證券抵押品所產生的任何報酬、收入、回佣或其他利益而無須向我/我們負責。



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Declaration 聲明:

I/We hereby declare that the following information given in this declaration is true, accurate and complete:-

我/我們特此聲明，以下聲明所提供的資料均屬真實、準確和正確：

- (a) my spouse is not a margin client of Select Investment Services Limited ("SISL");
我的配偶不是弘富投資的保證金客戶；
- (b) I, whether alone or with his/her spouse, is not in control of 35% or more of the voting rights of any margin client of SISL; or
我（不論是單獨或與其配偶共同）並沒有控制弘富投資的任何其他保證金客戶的 35% 或以上的投票權；或
- (c) no company belonging to a group of companies, of which I/we am/are a member, is a margin client of SISL.
我/我們所屬的公司集團屬下並無任何公司是弘富投資的保證金客戶。

Authorized Signature(s)

獲授權代表簽署

X

(All account holders of joint account must sign jointly. 所有聯名客戶必須簽署)

Signatory
Name(s)
簽署人姓名

S.V.

FOR OFFICE USE ONLY 此部分由本公司填寫

Prepared By IR/CSO

Checked By OPP

Date:

Date:

*Mandatory Fields 此欄必須填寫